

## From ecological to lexical diversity: measuring vocabulary richness in historical corpora

The question whether and how we can measure lexical diversity has long been a pertinent one in Linguistics and related disciplines. Attempts have been made to estimate the vocabulary size of (average speakers of) a particular language (at different ages) (e.g. Ellegård 1960, Brysbaert et al. 2016, Segbers & Schroeder 2017), and many studies in (Diachronic) Construction Grammar are concerned with estimating the number of unique lexical items that may occur in particular morphosyntactic structures for different individuals or across time (e.g. Schmid & Mantlik 2015; Perek 2018). To address these questions, researchers often resort to corpus research, using quantitative measures that rely on type and token frequency and/or hapax legomena, such as (variations on) Mean Word Frequency (MWF) and Type-Token Ratio (TTR) (see Tweedie & Baayen 1998), and realized/potential/expanding productivity (Baayen 2009).

However, in historical corpora, unique character strings cannot always be equated to unique words. This may be due to spelling variation or OCR errors (e.g. the Modern English character <f> is often mistaken for <f> or <l>; thus *strength* <ftrength> can also be represented by <frength> and <lrength>). Because neither OCR errors nor non-standard spelling variations are entirely systematic, reducing such variation through corpus pre-processing can be challenging.

As a solution, we propose an approach originally developed to estimate ecological diversity (Chao et al. 2019) called the attribute diversity framework, which distinguishes categorical diversity from functional diversity. We define ‘categorical diversity’ as the number of unique ‘items’ (i.e. unique character strings) in a text, and ‘functional diversity’ as a measure that also takes into account the distributional similarity of these items. Operationalizing this distributional similarity by means of word embeddings generated with the historically pre-trained language model MacBERT<sub>h</sub> (Manjavacas & Fonteyn 2022), we demonstrate that:

- (i) Functional diversity estimates are affected to a much lesser extent by spelling inconsistencies and OCR errors than categorical diversity.
- (ii) Given two sets of unique word types, set A{*dog, bird, rabbit*} and set B{*progesterone, remember, blue*}, the approach also captures the higher functional-semantic diversity of set B.

As a concrete case study to demonstrate the theoretical and practical advantages of discussing ‘vocabulary richness’ or lexical diversity in terms of attribute diversity, we use the diachronic ARCHER corpus (version 3.2) and discuss diachronic changes in and differences between texts from different genres and by different authors in terms of categorical as well as functional diversity.

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