

## ***Globalization, Governance and the State in India***

*Semester 2 - 2016-2017*

Instructor: Rahul Mukherji

Time: 11:00-13:00

Venue: SAI Room Z10

Department of Political Science, South Asia Institute

### **Aims**

This class will explore the impact of globalization on the Indian state and its governance. Equally important, it will interrogate the domestic sources of governance that impact governance in India.

This course wishes to focus attention on a couple of issues:

1. How do global forces such as the powerful states and international organizations make an impact on the Indian state?
2. How can we understand the state as a conceptual variable in politics?
3. What is the nature of the Indian state?
4. What is governance?
5. Does the Indian state have a significant role in governance?
6. Is the Indian state impacted by the forces of globalization?

**Moodle Password:** Global17

### **Calendar & Syllabus**

1. April 26, 2017  
What is the class about?
2. May 3, 2017

#### *Globalization 1: Imperialism and Dependency Theory*

Lenin's Theory of Imperialism argued forcefully about the relationship between capitalism and imperialism. Did later dependency scholars take this agenda forward?

Vladimir Ilyich Lenin, *Imperialism, the Highest Stage of Capitalism*.

See: <https://www.marxists.org/archive/lenin/works/1916/imp-hsc/>

Immanuel Wallerstein, “The Rise and Future Demise of the World Capitalist System”, *Comparative Studies in Society and History* 16, 4 (Sept 1974): 387-415. <http://bev.berkeley.edu/ipe/readings/Wallerstein.pdf>

3. May 10, 2017

*Hegemony and Embedded Liberalism*

Do hegemons create world orders? Or do ideas and interests within the domestic realm matter?

John G Ruggie, “International regimes. Transactions and change,” *International Organization* 36, 2 (April 1982): 379-415.

Rahul Mukherji, “India and Global Economic Governance: From Structural Conflict to Embedded Liberalism” *International Studies Review*, Vol 16, No.3, (2014), pp.460-466.

*Supplementary*

Irfan Nooruddin and Nita Rudra, “Are developing countries Really Defying the Embedded Liberalism Compact,” *World Politics* 66, 4 (October 2014): 603-40.

G John Ikenberry, ed., *Power, Order and Change* (New York: Cambridge University Press, 2014).

4. May 17, 2017

*Norm Diffusion*

What is the relationship between global norms and national level norms?

Margaret E Keck and Kathryn Sikkink, *Activists Beyond Borders* (Cornell University Press, 1998), chapter 1.

Helen V Milner, “Introduction: The Global Political Economy, Foreign Direct Investment, and the Regime for Investment,” *World Politics* 66, 1 (January 2016): 1-11.

*Supplementary*

Amitav Acharya, "How ideas spread?" *International Organization* 58, 2 (Spring 2004): 239-75.

Martha Finnemore and Kathryn Sikkink, "International Norm Dynamics and Institutional Change," *International Organization* 52 (1998): 887-917.

5. May 24 2017

*The State*

Can the state be considered a conceptual variable?

Theda Skocpol, "Introduction: Bringing the State Back In," in Peter Evans, et, al., *Bringing the State Back In* (New York: Cambridge University Press, 1985), chapter 1.

*Supplementary*

Stephen D Krasner, *Structural Conflict* (University of California Press, 1985).

6. May 31 2017

*Developmental State*

Did the developmental state evolve organically from the literature on the state? Is India a developmental state?

Meredith Woo-Cumings, "Introduction," in Meredith Woo-Cumings, ed., *The Developmental State* (Cornell University Press, 1999), 1-31.

Rahul Mukherji, "Is India a Developmental State?" in Yin-Wah Chu, ed., *The Asian Developmental State: Reexaminations and New Departures* (New York: Palgrave Macmillan, 2016), pp. 217-36

Supplementary:

Peter Evans, *Embedded Autonomy* (Princeton University Press, 1995).

Ronald Herring, "Embedded Particularism: India's Failed Developmental State," in Meredith Woo-Cumings, ed., *The Developmental State* (Cornell University Press, 1999): 306- 334.

7. June 7 2017

*Clientelism*

What happens when political patrons support only their clients rather than the citizenry at large?

Diego Abente Brun, "Introduction," in Diego Abente Brun and Larry Diamond, eds., *Clientelism, Social Policy and the Quality of Democracy* (Baltimore: Johns Hopkins University Press, 2014): 1-16.

Steven I Wilkinson, "Explaining changing patterns of party-voter linkages in India," in Herbert Kitschelt and Steven I Wilkinson, eds., *Patrons, Clients and Policies* (New York: Cambridge University Press, 2007): 110-40.

*Supplementary*

Kanchan Chandra, "The New Indian State," *Economic and Political Weekly* (October 10 2015): 46-58.

8. June 14 2017

*State capacity in India:*

What is state capacity? How did India manage economic reforms?

Nafisa Akbar and Susan L Ostermann, "Understanding, Defining, and Measuring State Capacity in India," *Asian Survey* 55, 5 (2015): 845-61.

*Supplementary*

Adnan Naseemullah, "The contested capacity of the Indian state," *India Review* 15:4 (2016): 407-32.

Milan Vaishnav and Reedy Swanson, "Does good economics make for good politics," *India Review* 14, 3 (2015): 279-311.

9. June 21 2017

*When the does the state succeed? – Different Party Strategies*

Tariq Thachil, "Elite Parties and Poor Voters," *American Political Science Review* 108, 2 (May 2014): 454-77.

*Supplementary*

Adam Michael Auerbach, "Clients and Communities," *World Politics* 68, 1 (Jan 2016): 111-48.

Saeed Gulzar and Benjamin J Pasquale, "Politicians, Bureaucrats and Development," *American Political Science Review* 108, 2 (May 2014): 454-77.

10. June 28 2017

Do ideas within the state matter?

Rahul Mukherji, "Ideas, Interests and the Tipping Point: Explaining Economic Change in India," *Review of International Political Economy* Vol. 20, No. 2 (2013), pp. 363-89.

*Supplementary*

Rahul Mukherji, *Globalization and Deregulation: Ideas, Interests and Institutional Change in India*. (Oxford University Press, 2014).

Akshay Mangla, "Bureaucratic Norms and State Capacity," *Asian Survey* 55:5 (2015).

Prerna Singh, "Sub-Nationalism and Social Development," *World Politics* 67, 3 (July 2015): 506-62.

Rahul Mukherji, *Political Economy of Reforms in India*. New Delhi: Oxford University Press, 2014.

11. July 5 2017

State Capacity: India's Telecom Revolution

India's telecom revolution is a clear case of state capacity within the context of democracy. How did this occur?

Rahul Mukherji, *Globalization and Deregulation: Ideas, Interests and Institutional Change in India*. New Delhi: Oxford University Press, 2015, chapter 4. Book available in the library under Oxford Scholarship Online.

*Supplementary*

Pradeep Bajjal, *A Bureaucrat Fights Back*. Harper Collins, 2016.

12. July 12 2017

Guest lecture by Dr. Himanshu Jha

*Do ideas matter? The Right to Information*

Shekhar Singh. 2011. The Genesis and Evolution of the Right to Information Regime in India. *Transparent Governance in South Asia*, ed. by Shekhar Singh et al. India: Indian Institute of Public Administration.

Jha, Himanshu. 2016. State Processes, Ideas and Institutional Change: Case of Right to Information Act in India. *Pacific Affairs* (Forthcoming).

13. July 19 2017:

State Incapacity: The Power Sector in India

The power sector in India is the saga of an infrastructure sector that could not benefit much from economic reforms.

Rahul Mukherji, *Globalization and Deregulation: Ideas, Interests and Institutional Change in India*. New Delhi: Oxford University Press, 2014, chapter 5.

*Supplementary*

Sunila S Kale, *Electrifying India: Regional Political Economies of Development*. Stanford University Press, 2014.

14. July 26 2017

*Exam:* The question paper will be distributed on July 12 2017 after class. The exam on July 19 will be a closed book exam. MA students will answer three questions and BA students will answer 2 questions.

### **Prior Requirements**

This class does not require any prior knowledge of South Asia. What is required is a commitment to South Asia and to social theory. The instructor and the lecturers are happy to work with students. Students are required to go through the readings mentioned just below the titles. This is essential for taking the exam. The supplementary materials are further readings for students who want to learn more about a particular topic.

### **Organization**

The Vorlesung will be a 90-minute lecture with discussions wherever possible. We encourage students to ask questions, even though lecturing will be the main component of the class. Questions and comments make for a lively class both for the lecturer and the pupils. Otherwise, the class becomes boring. I will use slides, which will come with lecture notes.

The lecture slides and essential readings will be uploaded on Moodle. The supplementary material will be available in the library reserves. Following the lecture and essential readings will earn students a good grade. If students engage the supplementary material as well, that will be impressive. There is no limit to how much you can learn from this class. I have pointed to additional resources in the following section titled: Scholarly Resources.

Students who desire a “Schein” must register on Moodle by the second week after the lecture begins. The password for registering for this class is: Global17.

### **Assessment**

Attendance in the Vorlesung is voluntary for those who do not wish to get a 'Schein' at the semester's end. For others, attendance is compulsory. The exam paper will be given out on July 12. The week between July 12 – July 19 can be used for preparation. There will be a closed book exam on July 26.

Students who do not attend the exam will receive no grade, unless they have a good excuse. Such students are required to submit a paper within a week. MA students will have to write a 4500 to 5000 - word paper. BA students should turn in a 3000 to 3500 - word paper. The right to turn in such a paper is not automatic. I will need to be convinced that circumstances beyond the student's control led to the student's absence at the time of the exam.

### **Scholarly Resources**

These resources are not required for taking the exam. However, if you are deeply interested in politics, political economy and South Asia, these journals will allow you to go deeper.

*Journals:* The journals given below are scholarly in nature. They often carry papers on the political economy of South Asia. You can go to their web sites, if you are keen to learn more about this class.

*Economic and Political Weekly:* <http://www.epw.in/>

*Journal of Development Studies*

*World Development*

*Pacific Affairs*

*Asian Survey*

*Contemporary South Asia*

*India Review*

*Seminar:* <http://www.india-seminar.com/>

*Himal:* <http://himalmag.com/>

*Contemporary South Asia*

*Journal of South Asian Development*

*Journal of Asian Studies*

*World Politics*

*Comparative Politics*

*American Political Science Review*

*Leading newspapers: Economic Times (India), The Hindu (India), Indian Express (India).*