



Wintersemester 2009/10

Oberseminar „Autokratien“

Dienstag, 10 – 12 Uhr

Seminarplan

Datum	Thema
13.10.2009	Einführungssitzung <i>Nachbereitungsliteratur:</i> Diamond, Larry (2008): The Democratic Rollback, The Resurgence of the Predatory State, in: Foreign Affairs 87 (2), 36-48. Köllner, Patrick (2008): Autoritäre Regime – Ein Überblick über die jüngere Literatur, in: Zeitschrift für Vergleichende Politikwissenschaft (2) 2, 351-366.
20.10.2009	Theoretische Ansätze in der Autokratie-Forschung <i>Pflichtlektüre:</i> Brooker, Paul (2009): Non-Democratic Regimes, 2. Auflage, New York, 16-44.
27.10.2009	Typologien I: Personalistische, Militär- und Einparteienregime <i>Pflichtlektüre:</i> Brooker, Paul (2009): Non-Democratic Regimes, 2. Auflage, New York, 46-129.

03.11.2009	<p>Typologien II: <i>Elektoraler und kompetitiver Autoritarismus</i></p> <p><i>Pflichtlektüre:</i></p> <p>Levitsky, Steven/Way, Lucan A. (2002): Elections Without Democracy: The Rise of Competitive Authoritarianism, in: Journal of Democracy, 13(2), 51-65.</p> <p>Schedler, Andreas (2006): The Logic of Electoral Authoritarianism, in: ders. (Hrsg.): Electoral Authoritarianism. The Dynamics of Unfree Competition, Boulder/London, 1-26.</p> <p>Brownlee, Jason (2009): Portents of Pluralism: How Hybrid Regimes Affect Democratization, American Journal of Political Science, 53(3), NUR 515-525.</p>
10.11.2009	<p>Persistenz und Funktionsweise autokratischer Herrschaft I: <i>Nationale Dimension</i></p> <p><i>Pflichtlektüre:</i></p> <p>Hadenius, Axel/Teorell, Jan (2006): Authoritarian Regimes: Stability, Change, and Pathways to Democracy, 1979-2003, Kellogg Institute Working Paper Nr. 331, Notre Dame.</p> <p>Gandhi, Jennifer/Przeworski, Adam (2007): Authoritarian Institutions and the Survival of Autocrats, in: Comparative Political Studies 40 (11), 1279–1301.</p>
17.11.2009	<p>Persistenz und Funktionsweise autokratischer Herrschaft II: <i>Internationale Dimension</i></p> <p><i>Pflichtlektüre:</i></p> <p>Levitsky, Steven/Way, Lucan (2006): Linkage and Leverage: How Do International Factors Change Domestic Balances of Power?, in: Snyder, Andreas (Hrsg.): Electoral Authoritarianism, Boulder/London, 199-216.</p> <p>Brinks, Daniel/Coppedge, Michael (2006): Diffusion Is No Illusion, Neighbor Emulation in the Third Wave of Democracy, in: Comparative Political Studies 39 (4), 463-489.</p>
24.11.2009	<p>Analyseraster</p> <p><i>Pflichtlektüre:</i></p> <p>Mahoney, James (2006): Analyzing Path Dependency, in: Wimmer, Andreas/Kössler, Reinhart (Hrsg.): Understanding Change. Models, Methodologies, and Metaphors, Basingstoke, 129-139.</p> <p>Thelen, Kathleen (1999): Historical Institutionalism in Comparative Politics, in: Annual Review of Political Science (2), 369-404.</p>
30.01.2010	<p>Blockseminar (1)</p>

<p>09:00 – 10:30</p>	<p>Einparteienregime: China</p> <p><i>Pflichtlektüre:</i></p> <p>Gallagher, Mary E. (2002): "Reform and Openness": Why China's Economic Reforms Have Delayed Democracy, in: World Politics 54 (3), 338-372.</p> <p>Heberer, Thomas/Senz, Anja D. (2009): Reform, Demokratisierung, Stabilität oder Kollaps? Literaturbericht zur Entwicklung des chinesischen Herrschaftssystems, in: PVS (50) 2, 306-326.</p>
<p>10:45 – 12:15</p>	<p>Personalistische Regime I: Nordkorea</p> <p><i>Pflichtlektüre:</i></p> <p>Gerschewski, Johannes/Köllner, Patrick (2009): Nordkorea und kein Ende? Zum Wandel innenpolitischer Legitimation und externer Stützung der DVRK, in: Maull, Hanns W./Wagener, Martin (Hrsg.): Ostasien in der Globalisierung, Baden-Baden, 169-191.</p> <p>Armstrong, C.K. (2001): The Nature, Origins, and Development of the North Korean State, in: Kim, S.S. (Hrsg.): The North Korean System in the Post-Cold War Era, New York, 39-65.</p>
<p>13:30 – 15:00</p>	<p>Personalistische Regime II: Cuba</p> <p><i>Pflichtlektüre</i></p> <p>Hawkins, Darren (2001): Democratization Theory and Nontransitions: Insights from Cuba, in: Comparative Politics 33 (4), 441-461.</p> <p>Hoffmann, Bert (2009): Charismatic Authority and Leadership Change: Lessons from Cuba's Post-Fidel Succession, in: International Political Science Review 30 (3), 229-248.</p>
<p>15:15 – 16:45</p>	<p>Personalistische Regime III: Saudi-Arabien</p> <p><i>Pflichtlektüre:</i></p> <p>Aarts, Paul (2007): The Longevity of the House of Saud: Looking Outside the Box, in: Schlumberger, Oliver (Hrsg.): Debating Arab Authoritarianism, Stanford, 251-267.</p>
<p>31.01.2010</p>	<p>Blockseminar (2) Raum 02.023</p>
<p>10:00 – 11:30</p>	<p>Klerikaler Autoritarismus als Typ sui generis? Iran</p> <p><i>Pflichtlektüre:</i></p> <p>Brownlee, Jason (2007): Elite Defections and Electoral Defeat: Iran During the Third Wave, in: ders.: Authoritarianism in an Age of Democratization, Cambridge, 157-181.</p> <p>Hen-Tov, Elliot (2006/7): Understanding Iran's New Authoritarianism, in: The Washington Quarterly 30 (1), 163-179.</p>

11:45 – 13:15	<p>Militärregime: Myanmar/Burma</p> <p><i>Pflichtlektüre:</i></p> <p>Hlaing, Kyaw Yin (2009): Setting the rules for survival: why the Burmese military regime survives in an age of democratization, in: The Pacific Review 22 (3), 271-291.</p>
14:30 – 16:00	<p>Elektoraler und Kompetitiver Autoritarismus I: Russland und Venezuela</p> <p><i>Pflichtlektüre:</i></p> <p>Hassner, Pierre (2008): Russia's Transition to Autocracy, in: Journal of Democracy 19 (2), 5-15.</p> <p>Coppedge, Michael (2005): Explaining Democratic Deterioration in Venezuela Through Nested Inference, in: Hagopian, Francis/Mainwaring, Scott (Hrsg.): The Third Wave of Democratization in Latin America, Cambridge, 289-316.</p>
16:15 – 17:45	<p>Elektoraler und Kompetitiver Autoritarismus II: Singapur und Malaysia</p> <p><i>Pflichtlektüre:</i></p> <p>Means, Gordon Paul (1998): Soft Authoritarianism in Malaysia and Singapore, in: Diamond, Larry/Plattner, Marc F. (Hrsg.): Democracy in East Asia, Baltimore, 96-113.</p> <p>George, Cherian (2007): Consolidating authoritarian rule: calibrated coercion in Singapore, in: The Pacific Review 20 (2), 127-145.</p>

Literatur

1. Theoretische Ansätze in der Autokratie-Forschung

1. Zentrale Literatur

Brooker, Paul (2009): Non-Democratic Regimes, 2. Auflage, New York, 16-44.

Linz, Juan José (2000): Totalitäre und autoritäre Regime, hrsg. von Raimund Krämer, Berlin, I-LV.

Munck, Geraldo L. (2006): Drawing Boundaries: How to Craft Intermediate Regime Categories, in: Snyder, Andreas (Hrsg.): Electoral Authoritarianism, Boulder/London, 27-41.

Snyder, Richard (2006): Beyond Electoral Authoritarianism: The Spectrum of Non-Democratic Regimes, in: Snyder, Andreas (Hrsg.): Electoral Authoritarianism, Boulder/London, 219-233.

2. Weiterführende Literatur

Arendt, H. (1955): Elemente und Ursprünge totaler Herrschaft, Frankfurt.

Backes, U./Jesse E. (1984): Totalitarismus, Extremismus, Terrorismus. Ein Literaturführer, Opladen.

Bracher, K. (1976): Zeitgeschichte. Kontroversen um Faschismus, Totalitarismus, Demokratie. München.

Brooker, P. (1995): Twentieth-Century Dictatorships: The Ideological One-Party States, Basingstoke.

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Jesse, Eckhard (Hrsg.) (1999): Totalitarismus im 20. Jahrhundert. Baden-Baden.

Lieber, H.—J. (1993): Zur Theorie totalitärer Herrschaft, in: Lieber, H.—J. (Hrsg.): Politische Theorien von der Antike bis zur Gegenwart. Bonn: BpB, 2. Auflage, 881-932.

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Merkel, Wolfgang (2004): "Totalitäre Regime", in: Totalitarismus und Demokratie, 1 (2), 183-201.

Sartori, G. (1989): Totalitarianism, Model Mania and Learning from Error, in: Journal of Theoretical Politics 1 (5), 5-22.

Talmon, J.L. (1961): Die Ursprünge der totalitären Demokratie, Köln/Opladen.

Huntington, Samuel P./Moore, C.H: (1970): Authoritarian Politics in Modern Society: The Dynamics of Established One-Party Systems, New York.

Neumann, Franz (1986): Demokratischer und autoritärer Staat, Frankfurt/Main.

2. Typologien I: *Personalistische, Militär- und Einparteienregime*

1. Zentrale Literatur

Brooker, Paul (2009): Non-Democratic Regimes, 2. Auflage, New York, 46-129.

Geddes, Barbara (1999): What Do We Know About Democratization After Twenty Years?, in: Annual Review of Political Science (2), 115-144, insb. 123-125.

Linz, Juan José (2000): Totalitäre und autoritäre Regime, hrsg. von Raimund Krämer, Berlin, insb: 22-33; 129-145.

Merkel, Wolfgang (2009): Systemtransformation, 2. Auflage, Wiesbaden, insb. 38-51.

Perlmutter, Amos (1981): Modern Authoritarianism. A Comparative Institutional Analysis, New Haven/London, 1-88.

Thompson, Mark R. (1998): Weder totalitär noch autoritär: Post-Totalitarismus in Osteuropa, in: Siegel, Achim (Hrsg.): Totalitarismustheorien nach dem Ende des Kommunismus, Köln, 309-339.

2. Weiterführende Literatur

Lauth, Hans-Joachim (2002): Regimetypen: Totalitarismus - Autoritarismus - Demokratie, in: ders. (Hrsg.): Vergleichende Regierungslehre. Eine Einführung, Wiesbaden, 105-131.

Clapham, C./Philipp, G. (1985): The Political Dilemmas of Military Regimes, in: Clapham, C./Philipp, G. (Hrsg.): The Political Dilemmas of Military Regimes, Beckenham.

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Huntington, Samuel P. (1968): Political Order in Changing Societies, New Haven.

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Bratton, Michael/ van de Walle, Nicolas (1994): Neopatrimonial Regimes and Political Transitions in Africa, in: World Politics 46 (4), 453-489.

Brownlee, Jason (2002): And Yet They Persist: Explaining Survival and Transition in Neopatrimonial Regimes, in: Studies in Comparative International Development, 37 (3), 35-63.

Chehabi, H. E./Linz, Juan J. (1998a): A Theory of Sultanism 1: A Type of Nondemocratic Rule, in: ders. (Hrsg.): Sultanistic Regimes, Baltimore/London, 3-25.

Chehabi, H. E./Linz, Juan J. (1998b): A Theory of Sultanism 2: Genesis and Demise of Sultanistic Regimes, in: ders. (Hrsg.): Sultanistic Regimes, Baltimore/London, 26-48.

Erdmann, Gero (2002): Neopatrimoniale Herrschaft — oder: warum es in Afrika so viele Hybridregime gibt, in: Bendel, P./Croissant, A./Rüb, F.W. (Hrsg.): Zwischen Demokratie und Diktatur. Zur Konzeption und Empirie demokratischer Grauzonen, Opladen, 323-343.

3. Typologien II: *Elektoraler und kompetitiver Autoritarismus*

1. Zentrale Literatur

Brooker, Paul (2009): Non-Democratic Regimes, 2. Auflage, New York, 233-268.

Brownlee, Jason (2009): Portents of Pluralism: How Hybrid Regimes Affect Democratization, American Journal of Political Science, 53(3), 515-532, insb. 515-525.

Diamond, Larry (2002): Elections Without Democracy: Thinking About Hybrid Regimes, in: Journal of Democracy, 13(2), 21-35.

Levitsky, Steven/Way, Lucan A. (2002): Elections Without Democracy: The Rise of Competitive Authoritarianism, in: Journal of Democracy, 13(2), 51-65.

Morlino, Leonardo (2009): Are there hybrid regimes? Or are they just an optical illusion?, in: European Political Science Review 1 (2), 273-297.

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2. Weiterführende Literatur

Carothers, Thomas (2002): The End of the Transition Paradigm, in: Journal of Democracy, 13 (1), 5-21.

Croissant, Aurel (2002): Einleitung: Demokratische Grauzonen – Konturen und Konzepte eines Forschungszweigs“, in Petra Bendel/Croissant, Aurel/Rüb, Friedbert W. (Hrsg.): Zwischen Demokratie und Diktatur. Zur Konzeption und Empirie demokratischer Grauzonen, Opladen, 9-54.

Levitsky, Steven/Way, Lucan A. (2003): Autocracy by Democratic Rules: The Dynamics of Competitive Authoritarianism in the Post-Cold War Era. Paper prepared for the Annual APSA Meeting, Boston, MA, August 28-31, 2002.

Munck, Gerald L./Snyder, Richard (2004). Mapping Political Regimes. How the Concepts we use and the Way We Measure Them Shape the World We See. Paper prepared for the Annual APSA Meeting, Chicago, September 2-5, 2004.

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Rüb, Friedbert W. (2002): Hybride Regime: Politikwissenschaftliches Chamäleon oder ein neuer Regimetypus? Begriffliche und konzeptionelle Überlegungen zum neuen Pessimismus in der Transitologie, in: Petra Bendel/Croissant, Aurel/Rüb, Friedbert W. (Hrsg.): Zwischen Demokratie und Diktatur. Zur Konzeption und Empirie demokratischer Grauzonen, Opladen, 99-119.

Schedler, Andreas (2002): The Menu of Manipulation, in: Journal of Democracy 13 (2), 36-50.

4. Persistenz und Funktionsweise autokratischer Herrschaft I: *Nationale Dimension*

1. Zentrale Literatur

Boix, Carles/Svolik, Milan (2008): The Foundations of Limited Authoritarian Government: Institutions and Power-Sharing in Dictatorships. CIG Working Paper Nr. 2. Berkeley: The IGS Center on Institutions and Government, http://igov.berkeley.edu/workingpapers/series4/svolik_endo2008.pdf.

De Mesquita, Bruce Bueno/Smith, Alastair (2009): Political Survival and Endogenous Institutional Change, in: *Comparative Political Studies* 42 (2), 167-197.

Ekman, Joakim (2009): Political Participation and Regime Stability: A Framework for Analyzing Hybrid Regimes, in: *International Political Science Review* 30 (1), 7-31.

Gandhi, Jennifer/Przeworski, Adam (2007): Authoritarian Institutions and the Survival of Autocrats, in: *Comparative Political Studies* 40 (11), 1279–1301.

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Brooker, Paul (2009): *Non-Democratic Regimes*, 2. Auflage, New York, 130-197.

Burnell, Peter (2006): Autocratic Opening to Democracy: Why Legitimacy Matters, in: *Third World Quarterly* 27 (4), 545–562.

Gandhi, Jennifer/Lust-Okar, Ellen (2009): Elections Under Authoritarianism, in: *Annual Review of Political Science* (14), 403-422.

Gandhi, Jennifer/Przeworski, Adam (2006): Cooperation, Cooptation, and Rebellion Under Dictatorships, in: *Economics and Politics* 18 (1), 1–26.

Geddes, Barbara (2007): Why Parties Are Created after Authoritarian Seizures of Power, Paper presented at the 2007 Annual Meeting of the American Political Science Association, Chicago.

Howard, Marc Morje/Roessler, Philip G. (2006): Liberalizing Electoral Outcomes in Competitive Authoritarian Regimes, in: *American Journal of Political Science* 50 (2), 365-381.

Magaloni, Beatriz (2008): Credible Power-Sharing and the Longevity of Authoritarian Rule, in: *Comparative Political Studies* 41 (4/5), 715-741.

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Ulfelder, Jay/Lustik, Michael (2007): Modelling Transitions To and From Democracy, in: *Democratization* 14 (3), 351-387.

5. Persistenz und Funktionsweise autokratischer Herrschaft II: *Internationale Dimension*

1. Zentrale Literatur

Brinks, Daniel/Coppedge, Michael (2006): Diffusion Is No Illusion, Neighbor Emulation in the Third Wave of Democracy, in: *Comparative Political Studies* 39 (4), 463-489.

Finnemore, Martha/Sikkink, Kathryn (1998): International Norms and Political Change, in: *International Organization* 52 (4), 887–917.

Gleditsch, Kristian Skrede/Ward, Michael D. (2006): Diffusion and the International Context of Democratization, in: *International Organization* 60: 911-933

Levitsky, Steven/Way, Lucan (2006): Linkage and Leverage: How Do International Factors Change Domestic Balances of Power?, in: Snyder, Andreas (Hrsg.): *Electoral Authoritarianism*, Boulder/London, 199-216.

2. Weiterführende Literatur

Diamond, Larry (2008): The Democratic Rollback. The Resurgence of the Predatory State, in: *Foreign Affairs* 87 (2), 36-48.

Levitsky, Steven/Way Lucan A. (2005): International Linkage and Democratization, in: Journal of Democracy 16 (3), 20–34.

Risse, Thomas (1999): International Norms and Domestic Change: Arguing and Communicative Behavior in the Human Rights Area, in: Politics & Society 27 (4), 529–559.

Risse, Thomas/Ropp, Stephan/Sikkink, Kathryn (Hrsg.) (1999): The Power of Human Rights. International Norms and Domestic Change, Cambridge. Pevehouse, Jon C. 2002: Democracy from the Outside-In? International Organizations and Democratization, in: International Organization 56 (3), 515-549.

6. Analyseraster

Immergut, Ellen M. (1998): The Theoretical Core of the New Institutionalism, in: Politics & Society 26 (1), 5-34.

Mahoney, James (2006): Analyzing Path Dependency, in: Wimmer, Andreas/Kössler, Reinhart (Hrsg.): Understanding Change. Models, Methodologies, and Metaphors, Basingstoke, 129-139.

Mahoney, James (2000): Path Dependence in Historical Sociology, in: Theory and Society 29 (3), 503–547.

Mahoney, James (2001): Path Dependent Explanations of Regime Change: Central America in Comparative Perspective, in: Studies in Comparative International Development 36 (1), 111-141.

Mahoney, James/Rueschemeyer, Dietrich (Hrsg.) (2003): Comparative Historical Analysis in Social Sciences, Cambridge.

Mahoney, James/Synder, Richard (1999): Rethinking Agency and Structure in the Study of Regime Change, in: Studies in Comparative International Development 34 (2), 3-32.

Mahoney, James/Synder, Richard (1999): The Missing Variable: Institutions and the Study of Regime Change, in: Comparative Politics 32 (1), 103-122.

Pierson, Paul (2000): Increasing Returns, Path Dependence, and the Study of Politics, in: American Political Science Review 94 (2), 251–267.

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Thelen, Kathleen (1999): Historical Institutionalism in Comparative Politics, in: Annual Review of Political Science 2, 369-404.

7. Einparteienregime: China

1. Zentrale Literatur

Chen, An (2003). Rising-Class Politics and its Impact on China's Path to Democracy, in: Democratization 22 (2), 141-162.

Chen, Jie/Dickson, Bruce (2008): Allies of the State: Democratic Support and Regime Support among China's Private Entrepreneurs, in: The China Quarterly 196 (December), 780-804.

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Gallagher, Mary E. (2002): Reform and Openness: Why China's Economic Reforms Have Delayed Democracy, in: World Politics 54 (3), 338-372.

Gilley, Bruce (2007): Is China Stuck?, in: Journal of Democracy 18 (1): 172-174.

Heberer, Thomas/Senz, Anja D. (2009): Reform, Demokratisierung, Stabilität oder Kollaps? Literaturbericht zur Entwicklung des chinesischen Herrschaftssystems, in: PVS 50 (2), 306-326.

Heilmann, Sebastian (2007): Das politische System der VR China: Modernisierung ohne Demokratie?, in: Fischer, Doris/Lackner, Michael (Hrsg.): Länderbericht China, Bonn, 181-197.

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Rowen, Henry (2007): When Will Chinese People Be Free?, in: Journal of Democracy 18 (3), 38-52.

2. Weiterführende Literatur

Bian, Yanjie (2001): Communist Party Membership and Regime Dynamics in China, in: Social Forces 79 (3), 805-841.

Brodsgaard, Kjeld E./Zheng, Yongnian (Hrsg.): 2006: The Chinese Communist Party in Reform, London/New York.

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Thompson, Mark R. (2001): To Shoot or Not to Shoot: Post-Totalitarianism in China and Eastern Europe, in: Comparative Politics 34 (1), 63-83.

Wenfang, Tang (2005): Public Opinion and Political Change in China, Stanford.

8. Personalistische Regime I: Nordkorea

1. Zentrale Literatur

Armstrong, C. K. (2002): The North Korean revolution, 1945-1995, Ithaca.

Armstrong, C.K. (2001): The Nature, Origins, and Development of the North Korean State, in: Kim, S.S. (Hrsg.): The North Korean System in the Post-Cold War Era, New York, 39-65.

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Gerschewski, Johannes/Köllner, Patrick (2009): Nordkorea und kein Ende? Zum Wandel innenpolitischer Legitimation und externer Stützung der DVRK, in: Maull, Hanns W./Wagener, Martin (Hrsg.): Ostasien in der Globalisierung, Baden-Baden, 169-191.

Kihl, Young Hwan/Kim, Hong Nack (Hrsg.) (2006): North Korea: The Politics of Regime Survival, New York

Manasourov, Alexandre Y. (2005): Emergence of the Second Republic: The Kim Regime Adapts to the Challenge of Modernity, in: Kihl, Young Hwan/Kim, Hong Nack (Hrsg.): North Korea: The Politics of Regime Survival, New York, 37-58.

2. Weiterführende Literatur

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9. Personalistische Regime II: Cuba

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